



AIMS

Axis Investment Centre Investment Management Service

***Investment administration,
advice and management –
revolving around a better
financial future.***

Axis Investment Centre

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Townsville QLD 4810

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Do you want to keep control of your investments without the accompanying paperwork?

Are you wanting advice from experienced professionals while retaining the ability to make the final investment decisions?

Do you want to eliminate the headache at tax time with comprehensive tax reporting?

Are you wanting up-to-date portfolio valuations and income details to help with decision making?

At Axis we have developed a solution for you.

We have created the Axis Investment Management Service to help you manage the day to day running of your portfolio.

Effective administration of your investments, particularly shares, can be very time-consuming. Investors must keep accurate records of all transactions, dividends and dividend re-investment and changes to capital value. Then there's the tax to sort out at the end of the financial year. At Axis we can do all of this for you.

AXIS administers a full range of investments

The AXIS Investment Management Service (AIMS) is capable of administering a wide range of investment products. These include all shares and trusts listed on the Australian Stock Exchange, cash, bank bills, term deposits, government bonds and managed funds.

Comprehensive monitoring and reporting

We will record all your transactions, income and dividends received and corporate actions such as rights issues or term deposit maturities and then deal with them on your behalf according to your instructions. We use the Macquarie Cash Management Trust as the financial hub of your portfolio to enable you to reconcile all cash movements through your account and also to provide a secure parking spot for your funds whilst they await investment.

Each quarter you will receive a report detailing the individual assets in your portfolio, transactions that have taken place over the period, income and expense statements, progressive capital gains and detailed cash reports. Up-to-date versions of these reports are also available any time online at www.axisinvest.com.au

Managing your tax

At the end of the financial year we will prepare an annual tax report which you can give to your accountant. This comprehensive report will summarise the composition of your income and distributions and provide a detailed realised and unrealised capital gains tax summary. This report will enable you to better manage your tax position, save your accountant time and, in turn, save you money.

Detailed information on the service including terms and conditions

The nature of the service

The AXIS Investment Management Service is a non-discretionary service that provides comprehensive investment advice. This advice is delivered to you by your adviser.

With this service, you decide whether or not to accept our advice before we proceed with implementing any changes to your portfolio.

How to set up your account

Setting up your account is easy. All you need to do is:

- Complete the application form included with this brochure
- Provide details of investments to be transferred. If you require full and accurate records to be maintained we will need a copy of all the original paperwork or a set of your accounts
- Complete the limited power of attorney which allows us to assist in the transfer of your existing investments as well as allowing us to perform a number of everyday tasks associated with the ongoing administration of your investments. Please consult your adviser if you have any queries.
- Return the application form and limited power of attorney to your adviser

Please note that the establishment of a portfolio within the Axis Investment Management Service requires the recording of all historical information detailing the acquisition dates and cost base of each individual asset. This, combined with the actual transfer of portfolio assets can take some time.

The commencement of the service will be when documents are signed and all historical information has been provided. We will send you an initial report confirming your portfolio information once your account is established and the service has commenced.

You may be ineligible for our service if you refuse to provide us with the necessary information. Not providing us with your TFN or an exemption can have tax consequences – see below.

We do require your tax file number. There is a place on the application form for it or for you to detail any exemption. Giving these details means tax does not need to be deducted at the highest marginal rate plus Medicare Levy. We will only use it as law permits.

How do we administer your assets?

We provide full administration for assets in the following classes:

- Cash, bank bills, term deposits, debentures and government stock.
- ASX listed securities including shares, company options and listed unit trusts.
- Managed funds, both retail and wholesale (please note that foreign denominated managed funds may not be accepted).

It is only the asset classes listed above that we are able to administer as part of the Axis Investment Management Service. We cannot provide these functions for any other financial product unless we expressly agree in advance to do so.

We will control the portfolio assets on your behalf, meaning that we perform authorised transactions on your behalf and provide ongoing asset administration functions such as collecting income and acting upon corporate actions. In order to do this, correspondence with regard to your investments will be sent directly to our office.

When we need to act for you, we do so under a Limited Power of Attorney you grant to us.

Cash Management

To facilitate the accurate administration of your portfolio assets, we will open a cash account with the Macquarie Cash Management Service on your behalf. This account will be used as the financial hub of your portfolio and will allow for full reconciliation of cash movements in and out of your portfolio.

You authorize AXIS to withdraw funds from this account to pay any fees, taxes and associated expenses as they fall due. All dividends, distributions and other income will be paid directly to this account and all transactions involving portfolio assets undertaken on your behalf will be settled with this account unless you also have a margin loan.

Please refer to the Macquarie Cash Management Service prospectus and the AXIS Investment Centre Client Agreement and Sponsorship Agreement for full details of this service and the authority you grant to AXIS to operate on this account on your behalf.

Reporting

Each quarter we will provide you with the following reports:

- Portfolio valuation, detailing your individual investments;
- Transaction statements, detailing all transactions (settled and unsettled), cash movements, income and expenses during the period for your portfolio assets; and
- Progressive realized and unrealized capital gains tax statements.

At the end of each financial year you will receive an annual report which details a summary of all income and capital gains information for the financial year just ended to aid in the preparation of your annual tax return.

Please note though that the information contained in the tax reports about assets that were previously acquired outside our administration service will only be as accurate as the information that you provide to us at the time of establishment.

Once your account is set up we will provide you with a user name and password to access your account via our website at www.axisinvest.com.au. Please note that this access allows you to view your account and print reports, but does not allow you to transact on your account.

If you nominate authorized recipients of information regarding your account we will also provide them with an additional user name and password for access to your account.

Rights over your portfolio assets

Portfolio assets are registered in your name and you will retain all the rights and benefits associated with direct ownership of the asset. You can elect to:

- Participate in all corporate actions relating to your portfolio assets including income reinvestment, bonus issues, rights issues, options and return of capital; and
- Exercise your voting rights. We will only exercise voting rights on your behalf when you expressly instruct us to do so.

Corporate Actions

We will notify you of, and help you track, all corporate actions such as rights issues, takeovers, reconstructions, term deposit maturities and other entitlements and notifications that relate to your portfolio assets.

We will comply with your instructions in respect of any corporate action however, if we do not receive instructions by the date we nominate, you are taken to have instructed us to allow any entitlement to lapse. Where required, you must have sufficient cleared funds in your cash account to participate in any corporate action.

If you have a fixed term investment (such as a term deposit) we will notify you and seek your instructions prior to the maturity of your investment.

Alternatively, you may provide us with standing instructions to roll over the investment each time it matures. If we do not receive your instructions prior to the maturity date, the proceeds will be credited to your cash account.

Reinvestment plans

If you wish to participate in a dividend or income re-investment plan, you can give us standing instructions relating to any or all of your portfolio assets.

Ownership of assets

AXIS will hold all investments in your name and as necessary will act under the Limited Power of Attorney granted to us in order to implement your instructions.

Communication

When making investments on your behalf through AXIS Investment Centre Pty Ltd, instructions to make an initial purchase or additional purchases of any investment that requires a product disclosure statement will not be acted on unless you or your legal representative have first received a current copy of the relevant product disclosure statement or other relevant offer document for each respective investment.

We will arrange for a copy of any relevant product disclosure statement to be sent directly to you upon request.

A copy of each contract note will be forwarded to you for your records.

Communication to us under this agreement can be delivered, faxed or e-mailed to our address.

Communications to you can be delivered, faxed or e-mailed to your address as most recently notified.

Communications, as distinct from instructions which are deemed to be received from the time they are acknowledged, are taken to be received (as relevant) on physical receipt for deliveries, three days after posting, two hours after the time any transmission report states that the whole fax was sent or (provided the sender has no reason to doubt its receipt) two hours after an e-mail was sent.

It is sufficient for delivery that we send to you or to any person you authorize:

An electronic link to a copy of a communication (for example, an e-mail containing a link to an updated product disclosure statement); or

Information indicating where the communication can be accessed (for example, a text message informing you that your Internet account details are updated).

In the case of joint clients, your physical address or electronic address means the physical address or electronic address of the first named in our records.

A cheque payable to you or a person authorised in writing by you may be posted to your physical address or, if we agree, handed to you or to any person we have no reason to doubt that you authorise.

If you receive any unwanted material from AXIS, please inform us and we will prevent it from being sent again. Please note that there are a number of written communications that we must send to you by law (e.g. Contract Notes).

If you think our records are wrong or out of date – particularly your address, e-mail address, adviser or any details of your portfolio assets – it's important that you contact us and we'll correct them. You can always access the information we hold about you – just call us.

Termination

You need to give us two weeks notice if you want to terminate this agreement. We would give you the same notice unless you breach this agreement, in which case we can end it immediately. Upon termination:

- We need not act on any instructions unexecuted at termination or received after termination;
- We are still entitled to fees up to the termination date and expense reimbursement and indemnification from your portfolio; and
- We may still deduct any moneys from the relevant portfolio as our agreement with you or law contemplates, or make a reserve for such payments or any liability.

Fees upon termination will be based upon the portfolio valuation taken at the end of the preceding quarter and calculated on a pro rata basis up until AXIS ceases all administrative and custodial functions on your behalf.

You acknowledge that in some cases it may take longer than two weeks for us to finalise arrangements with certain institutions and fund managers.

We can set and change any minimum required value or quantity as a minimum amount for any particular client or group of clients for:

- Their portfolio
- A transaction or type of transaction involving their portfolio; or

Each client must comply with any minimum amount. We have a standing instruction from you to realize any asset of your portfolio that we or they choose, on terms and at times we or they choose, to ensure compliance with any minimum.

If you die or become subject to a legal disability such as bankruptcy or insanity, only the survivor (where the deceased was a joint holder) or the legal personal representative (in any other case) need be recognised by us as having any claim to your portfolio.

How we will take instructions from you

The general rules

These are subject to the AXIS Investment Centre Pty Ltd Client Agreement and CHES Sponsorship Agreement.

Instructions must be actually received by us.

Instructions must be in such form as we require (generally either by letter, fax, e-mail or verbally). Instructions will have been deemed to be received by AXIS only after they have been acknowledged by a person within the firm authorized to take instructions (for example, your adviser or their assistant).

Where you give such instructions verbally, we will be entitled to deal in good faith with any person holding themselves out as you or any authorised representative of you that has been previously and expressly identified in the agreement. We must use reasonable endeavours to act on instructions as soon as practicable.

Unless notified in writing to the contrary, we will take instructions from either party to a joint account, or any one of the authorised signatories on a company or trust account. Please note that in the event of a relationship breakdown you must immediately inform us as soon as practically possible so that we can put a hold on the account. We will then require written instructions from the parties as to whether we can take the account off hold and how instructions are to be given.

We may set cut-off times for the receipt of instructions (after which there would be a delay before we act on the instruction – for example, instructions received after a particular time will not be acted on until as soon as practicable the next business day).

We may record any instructions in any manner we wish. We may determine the order in which we give effect to instructions from various clients or from the same client if received at the same time. We may give effect to part of one instruction and part of others.

Lapsing

Instructions will continue in force until:

- Cancelled if we permit this; or
- Superseded if we permit this (we or they may set limits on the ability of a later instruction to override a prior one).

Circumstances when we need not act on instructions

We need not act on instructions if:

- We doubt their authenticity (we need not form a positive view as to authenticity, but must not act if this is doubted);
- We doubt the authority of any person you have purportedly authorised to give instructions (we need not form a positive view as to authority, but must not act if this is doubted);
- We suspect that you do not comply with our agreement with you;
- Charges are unpaid or we or they think there is a risk that they will be unpaid;
- We are they suspect that the instructions do not comply with any relevant security, managed fund, or administrative requirement.
- We suspect that acting might cause a breach of any law, regulations, ASIC policy, ASX Business Rule of market practice;
- Acting would breach any relevant minimum amount we set from time to time;
- We suspect that you or any person you authorise has not or may not have:
- Properly done such things as we require (such as registering or completing forms)
- Properly done such things as the law directly or indirectly requires of you
- Provided such things as we require (such as information or documentation) and
- Provided reasonable assistance to us to enable obligations to be met.
- It is to acquire or accept something which is not an asset which we administer or for which transacting is suspended;
- We are not satisfied as to the meaning of the instruction (we must be reasonably satisfied as to the meaning);
- We consider that acting on them would be impracticable for any reason;
- You have made arrangements which we or they consider unsatisfactory for payment of any unpaid amounts, or amounts which we consider will become payable, in respect of the investment, or we suspect these payments might not be made;
- You have made arrangements which we consider unsatisfactory for payment of an

amount that will become payable in respect of the investment;

- Upon receipt of selling instructions there is insufficient stock within the portfolio assets for delivery upon sale;
- We are not satisfied that we are indemnified to our satisfaction against possible liability arising from acting on that instruction;
- The instruction was received after our agreement with you ends; or
- There are any other circumstances advised to you from time to time.

If the instruction is to be acted on or is received whilst the operation of offering of the services or any relevant part or relevant related service is suspended, these instructions are then taken to be received when the suspension finishes.

Where there is a financier, we may delay acting on your instructions until we or they receive confirmation from the financier that it can so act. We are not liable for loss to you for such delay.

We may continue to act on the instructions of the financier until we or they receive confirmation from the financier that the financier no longer has any interest in the relevant assets. We can refuse to act on a client's instruction until we or they receive such notification from the financier. We are not liable for loss to you in this case.

Fees

Our fees have been designed so that they are easy to understand and at the same time represent exceptional value for your money.

Management Fees

Management fees are charged in arrears on a quarterly basis, based on the average daily value of the portfolio during the quarter. They are payable on the last day of March, June, September and December each year.

Fee on the first \$500,000 invested	0.75% p.a. plus GST
Fee on the next \$1,500,000 invested	0.5% p.a. plus GST
Fee on any amounts invested over \$2,000,000	0.25% p.a. plus GST

Please note that if your portfolio is valued at less than \$150,000 you will be charged a minimum fee of \$280 per quarter plus GST.

Management fees are generally tax deductible.

Brokerage Fees

Brokerage fees on transactions are charged at the following rates per transaction:

1.75% plus GST for transactions up to \$15,000

1.25% plus GST for transactions over \$15,000 up to \$50,000

0.75% plus GST for transactions over \$50,000

There is a minimum brokerage fee of \$75.00 plus GST per transaction.

Other Fees

If you are unable to provide a complete cost base history for your existing investments, we may charge a fee for the working involved in reconstruction this history. The fee is \$150 plus GST per hour and the likely time involved can be discussed with your adviser.

Unless we have other arrangements with you, we will deduct any establishment fee from the monies you initially give us.

Additional information regarding fees

Our fees are payable until we finalise all administrative functions on your behalf.

We will deduct our fee each quarter, or at the time that we finalise the administration of your portfolio. If you don't make cash available in your cash management trust account to cover these fees, we can sell assets in your portfolio.

You agree to provide us with a standing instruction to pay the fees and charges from the relevant portfolio, and we may realise assets at such times and on such terms as we decide to pay our fees and charges. We may off-set fees and charges against any amount payable by or for us to you.

Unless otherwise stated, all fees mentioned in this brochure include GST.

If you hold managed funds within the service remember that the fund managers also charge management fees and recover their expenses. You can have an idea of how much a managed investment costs by looking at the relevant 'management expense ratio' (MER).

Some managers may also charge withdrawal fees (most don't) or include a buy/sell differential in their pricing. Details are contained in those manager's offer documents.

Limitations of the service**We do not give taxation advice**

Any investment decision you make, or we make for you, will have taxation consequences. We are not tax advisers and you should consult with your accountant regarding this.

The Australian taxation system is complex – please seek advice from a professional tax adviser if you are unsure as to how our services may affect your individual tax situation.

We do not give legal advice

While we can give an explanation of the terms of this agreement to you this cannot be construed as legal advice. We are not legal advisers and cannot give legal advice with regard to this agreement or any other matter. You should consult with your own legal adviser.

Other important information

Risks

All investing involves risks.

Remember that the services detailed in this document provide you with access to administration, reporting and information regarding your investments and are not an investment in their own right. The principle risk lies with the chosen investments held through these services.

Generally, investment returns may be influenced by a variety of factors both on a local and global scale. These include changes within the company or managed fund or its market, economic and political conditions, technology failures, interest rate movements, exchange rates, government policy and market sentiment. For managed funds, investment returns are also affected by the performance of the investment managers and their investment style.

Because of this, the value of your investments may fall as well as rise, and sometimes your investments may not generate any income.

Generally diversifying your portfolio across various companies and across asset classes and investment managers will reduce risk, as does investing over an appropriate time frame.

As with similar services, the AXIS Investment Management Service is dependent on the accuracy and efficiency of our operating and computer systems. We take risk management seriously and have procedures in place designed to ensure that our systems work effectively.

Indemnities, Warranties and Acknowledgements

You indemnify us against:

- All actions, proceedings, claims, demands, tax, costs, expenses and liabilities howsoever arising from any thing we do on your instructions or under our agreement with you; and
- All direct loss and damage which the and/or we suffer in connection with your breach of our agreement with you, your and your agent's fraud or negligence, and your or their breach of any law or any agreement you or they have with any person relevant to the portfolio.

These indemnities survive termination of this agreement. They continue separate from other obligations. No expense needs to be incurred before enforcing them.

We are not liable for indirect or consequential loss suffered by any person.

In spite of anything else in this agreement we are not liable for any act of any God, insurrection, civil disorder, terrorist action, war military operations, national or local emergency, acts or omissions of government or authority, industrial dispute, fire, lightning, explosion, flood, subsidence, bad weather, failure of power supply, equipment or communications systems or the Internet, or acts of other persons including any delegate or related entity, or any other thing outside our reasonable control.

You warrant to us that:

- If a body corporate, power to carry on its business as it is now being conducted;
- You have the full power and authority to enter into and perform your obligations under this agreement and have done all things to enable this;
- This agreement constitutes your legal, valid and binding obligation and is enforceable; and
- You are not aware of anything that might adversely impact on any of these things.

We will not disclose to anyone any information that we or they have about you unless:

- The law requires that it be disclosed;
- It is to an adviser who is nominated on this application form or an adviser that you subsequently advise in writing;
- It is to enable the service to be provided; or
- It is to enable AXIS to send you material relevant to the service.

We are not liable to you for any losses or liabilities incurred by you in relation to the services or our agreement with you except to the extent that those losses and liabilities arise from our own respective gross negligence, fraud or willful default or are imposed by law that cannot be excluded (otherwise it is excluded).

If we act without gross negligence, fraud or willful default we are not liable in contract, tort or otherwise to clients for any loss suffered in any way relating to the services or our agreement with you unless liability is imposed by law that cannot be excluded (otherwise it is excluded).

To the extent permitted by law, you release us from all such liability.

Our liability in contract, tort or otherwise to any person other than you is limited to the extent to which we are actually and properly indemnified from the relevant portfolio.

Nothing restricts us from:

- Acting in the same or similar capacity in relation to any other service, and retaining any benefit from doing so;
- Having an interest or receiving any payment in respect of any investment in your portfolio.

We may take and may act upon:

- The opinion or advice of counsel or solicitors, in relation to the interpretation of our agreement with you or any other document (whether by statutory or otherwise) or generally in connection with the services;
- Advice, opinions, statements or information from any bankers, accountants, auditors, valuers and other persons consulted by us or them in good faith to be expert in relation to the matters upon which they are consulted;
- A document which we believe in good faith to be the original or a copy of an appointment by you of a person to act as their agent for any purpose connected with the services and;
- Any other document provided to us in connection with the services upon which it is reasonable for us to rely,
- We are not liable for anything done, suffered or omitted by it in good faith in reliance upon such opinion, advice, statement, information or document.

Persons registered jointly as clients are regarded as holding as joint tenants (not as tenants-in-common). You are liable for all your obligations under our agreement with you separately and jointly with any one or more other clients in relation to the relevant portfolio.

If we become liable to pay Goods and Services Tax (GST) in respect of any supply under or in connection with our agreement with you or the services (including without limitation, the supply of any goods, services, rights, benefits or things), then (unless we have said it is GST inclusive), in addition to any fee or other amount or consideration payable to us or them in respect of the supply, we or they as relevant are entitled to be paid an additional amount on account of GST.

We are entitled to be reimbursed or indemnified for such amount of GST out of the relevant portfolio, such amount to be calculated by multiplying the fee, amount or consideration for the part of the supply which is a taxable supply for GST purposes by the prevailing rate of GST.

If in our agreement with you or any document offering the services a fee or charge is expressed to be GST inclusive, and GST increases, then the fee or charge may be increased

to the new rate if we so determine.

If we are not entitled to any input tax credit in respect of any amount of GST charged or recovered from us or them by any person, or payable by us or them by way of any supply under or in connection with our agreement with you or the services, we or they may recover such amount from the relevant portfolio.

We can pay our own money (such as that we receive from any fee) towards such purposes we or they determine, for example;

To reward an adviser; or

To apply to your account or accounts of a group of clients (often in the case of fees, called a rebate).

We are authorised and may retain and accept for our sole benefit any rebate (as may be authorised under the rules of any stock exchange or any other relevant regulatory body) paid to us by any broker, dealer, fund manager or underwriter in connection with any transaction for you.

The terms of our agreement may be waived or varied at our discretion, however, must be communicated with you in writing and with reasonable notice ahead of the intended change taking place.

Rights, powers and remedies provided in our agreement are cumulative with and not exclusive of those provided by law independently of this agreement.

We can assign, create an interest in or otherwise deal with our respective rights under this agreement without your consent. You need our consent to do this in relation to your rights.

If part of this agreement (or the application of that part to any person or circumstance) is or becomes invalid or unenforceable, then the remaining provisions of this agreement are not affected and are valid and enforceable to the fullest extent permitted by law.

References to this agreement and any other instrument includes variations and replacements, and to a person includes to their executors, administrators, successors, assigns and substitutes (including by novation). References to law include relevant regulations, policy and instruments, and consolidations, re-enactments, amendments and replacements.

This agreement is our entire agreement about this matter. It supersedes previous agreements, negotiations and discussions on that subject matter. It is however additional to the terms and conditions already accepted by you in the AXIS Investment Centre Client Agreement and Sponsorship Agreement. All of the services provided to you under this agreement are also subject to the terms and conditions contained in the AXIS Investment Centre Client Agreement and Sponsorship Agreements. In the case of any inconsistency, the AXIS Investment Centre Client Agreement and Sponsorship Agreement prevail.

This agreement is entered into in consideration of the parties incurring obligations and giving rights under this agreement and for other valuable consideration.

This agreement may be executed as counterparts. Headings don't affect interpretation.

AXIS Investment Management Service

Application Form and Agreement

This agreement is made the _____ day of _____ 20____

between the parties referred to in either section 1 or 2 below and AXIS Investment Centre Pty Ltd (ABN 20 905 955 091) of 144 Charters Towers Road, Hermit Park QLD.

1. Individual Applicants

	Title:	Given names:	Surname:	Date of Birth:
Client 1	_____			
Client 2	_____			

2. Company Applicants

Company name:	_____	_____	_____
ACN:	_____	ABN:	_____

3. Address Details

Postal Address	_____	_____	_____
	_____	State: _____	Post code: _____
Residential Address (if different)	_____	_____	_____
	_____	State: _____	Post code: _____

4. Account Designation (where applicable)

5. Contact Details

Client1 or director		Client2, director or secretary	
Home		Home	
Work		Work	
Mobile		Mobile	
Fax		Fax	
Email		Email	

6. Banking Details

If you would like to nominate a bank account for either ad-hoc or regular deposits please complete this section.

Name on account		For regular deposits	
Bank		Frequency	
BSB		Amount	
A/C no.		Start date	

7. Residency Details

Are you an overseas resident for tax purposes? Yes / No

If yes, country of residence: _____.

8. Tax File Number or Exemption

Individual (1)		Individual (2)	
Trust		Company	
Super fund			

9. Authorised Representative(s)

Please provide details of all persons whom you authorise to give us instructions on your behalf. Unless you direct us in writing, we may act on the instructions of any one of your authorised representatives.

Name	
Address	
Relationship to you	
Telephone	
Specimen Signature	

Name	
Address	
Relationship to you	
Telephone	
Specimen Signature	

10. Authorised Recipient(s)

Please provide details of all persons whom you authorise to receive information about your account (e.g. accountant, power of attorney, executors, legal advisers, etc)

Name	
Address	
Relationship to you	
Telephone	

12. Initial setup fees

I agree to pay an initial fee of _____ as discussed with my adviser. This fee is for work to be performed in the reconstruction of my cost base history.

11. Business Activity Statement

Do you require quarterly Business Activity Statement (BAS) information? Yes / No

12. Portfolio Amount

Initial amount to be deposited in Cash \$ _____.

Approximate initial value of assets to be transferred \$ _____.

13. Declaration

By signing this application form, you agree, represent and warrant that you:

- Have read and understood AXIS Investment Management Service brochure, the AXIS Investment Centre Client Agreement and Sponsorship Agreement and agree to be bound by the terms and conditions contained therein.
- Are over the age of 18 years and not of any legal disability.
- Have quoted your/the applicant's tax file number (TFN), Australian Business Number (ABN) or exemption on the basis that we will:
 - Comply with the law that authorizes and governs its collection, storage, security and disposal, and;
 - Apply it to all your/ the applicant's investments and interests within the AIMS service.
- Understand that if you do not provide your/the applicant's TFN, ABN or exemption, then:
 - You will not commit an offence, however;
 - Tax at the highest marginal rate plus Medicare Levy may be deducted from your / the applicant's income.
- Must separately negotiate with AXIS terms and fees for the performance of work that is not included in AXIS Investment Management Services brochure.

EXECUTION by the Parties:**Execution by individuals:**

Signed by client 1:		Witness signature:	
Print name:		Print name:	
Signed by client 2:		Witness signature:	
Print name:		Print name:	

Execution by a Company

Signed by director/ sole director:		Witness signature:	
Print name:		Print name:	
Signed by director/ secretary:		Witness signature:	
Print name:		Print name:	

Execution by Axis Investment Centre Pty Ltd:

For and on behalf of Axis Investment Centre Pty Ltd on ____/____/20____

Signed by: _____

Name: _____

Date: _____

AXIS Investment Management Service

Limited Power of Attorney - Individual(s)

I/we: _____

As trustee for (if applicable): _____

Of: _____

Grant this power of attorney in connection with the AXIS Investment Management Service (AIMS) offered by AXIS Investment Centre Pty Ltd (AXIS) for the purpose of authorising AXIS to undertake all actions and perform all functions which are necessary for it to perform and undertake on my/our behalf in connection with the AXIS Investment Management Service.

I/we hereby appoint AXIS and each officer or employee duly authorized by AXIS as my/our agent and attorney (attorney) with full power and authority to undertake and perform on my/our behalf all actions which are necessary, usual or desirable to enable AXIS to perform all of the functions and fulfill all of the obligations which form part of AIMS from time to time, including (without limitation):

- Settlement of all purchases and sales;
- Subscriptions for, transfers of and other dealings in securities;
- Making application for units in (including, if applicable, for my/our initial application), and requesting the redemption of units from my/our cash management trust account;
- Making withdrawals or debits from my/our nominated cash management trust for payments associated with the operation of this service;
- Making deposits or credits to my/our bank account;
- Receiving notices from and giving instructions to third parties as necessary or incidental to the performance and fulfillment of all functions and obligations required to be performed and fulfilled by AXIS on my/our behalf as part of or in connection with the AIMS; and
- Executing and delivering all documents which are necessary or incidental to the performance and fulfillment of all functions and obligations required to be performed and fulfilled by AXIS on my/our behalf as part of or in connection with the AIMS.

This Power of Attorney commences on the date of execution and will continue until the AIMS is terminated in accordance with the AIMS Terms and Conditions or upon notification by me/us in writing.

Individual clients to complete:

Signature of Individual 1	Signature of Witness
Name of Individual (1) <i>please print</i>	Name of Witness (please print)
Signature of Individual 2	Signature of Witness
Name of Individual (2) <i>please print</i>	Name of Witness (please print)
Date:	

AXIS Investment Management Service

Limited Power of Attorney – Company

Name of Company: _____

As trustee for (if applicable): _____

Of: _____

Grant this power of attorney in connection with the AXIS Investment Management Service (AIMS) offered by AXIS Investment Centre Pty Ltd (AXIS) for the purpose of authorising AXIS to undertake all actions and perform all functions which are necessary for it to perform and undertake on my/our behalf in connection with the AXIS Investment Management Service.

I/we hereby appoint AXIS and each officer or employee duly authorized by AXIS as my/our agent and attorney (attorney) with full power and authority to undertake and perform on my/our behalf all actions which are necessary, usual or desirable to enable AXIS to perform all of the functions and fulfill all of the obligations which form part of AIMS from time to time, including (without limitation):

- Settlement of all purchases and sales;
- Subscriptions for, transfers of and other dealings in securities;
- Making application for units in (including, if applicable, for my/our initial application), and requesting the redemption of units from my/our cash management trust account;
- Making withdrawals or debits from my/our nominated cash management trust for payments associated with the operation of this service;
- Making deposits or credits to my/our bank account;
- Receiving notices from and giving instructions to third parties as necessary or incidental to the performance and fulfillment of all functions and obligations required to be performed and fulfilled by AXIS on my/our behalf as part of or in connection with the AIMS; and
- Executing and delivering all documents which are necessary or incidental to the performance and fulfillment of all functions and obligations required to be performed and fulfilled by AXIS on my/our behalf as part of or in connection with the AIMS.

This Power of Attorney commences on the date of execution and will continue until the AIMS is terminated in accordance with the AIMS Terms and Conditions or upon notification by me/us in writing.

Corporate clients to complete: (please note that a Director or Secretary must sign)

Signature of Director	Signature of Witness
Name of Director (please print)	Name of Witness (please print)
Signature of Director 2 / Secretary	Signature of Witness
Name of Director 2 / Secretary (please print)	Name of Witness (please print)
Date:	

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